



IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Stephen R. Carter et al.

Serial No.: 09/654,660

Examiner:

Adrienne M. LEZAK

Filed: September 5, 2000

Group Art Unit: 2143

Title: POLICY ENFORCEMENT USING THE SEMANTIC CHARACTERIZATION
OF TRAFFIC

Confirmation No. 8081

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97 (b)(4); or
☐ under 37 CFR 1.97 (c) together with either a:
☐ Statement under 37 CFR 1.97 (e), or
☐ a \$180 fee under 37 CFR 1.17 (p); or
☐ under 37 CFR 1.97 (d) together with:
☐ Statement under 37 CFR 1.97 (e), and
☐ a \$180.00 fee set forth in 37 CFR 1.17 (p).

☒ Applicant(s) submit herewith Form PTO 1449- Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

It is requested that the information disclosed herein be made of record in this application.

Any deficiency or overpayment should be charged or credited to deposit account number 13-1703. A duplicate copy of this sheet is enclosed.

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Respectfully submitted,

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Date: May 16, 2005

Marissa Thomas

PATENT APPLICATION

Inventor(s): Stephen R. Carter et al.

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POLICY ENFORCEMENT USING THE SEMANTIC CHARACTERIZATION
OF TRAFFIC



**INFORMATION DISCLOSURE CITATION
FORM PTO-1449 (Modified)**

U.S. PATENT DOCUMENTS

Exam Init	Ref	Document Number	Issue Date	Name	Class	Sub Class
_____	_____	6,105,044	08/15/2000	De Rose et al.		
_____	_____	6,297,824 B1	10/02/2001	Hearst et al.		
_____	_____	6,295,533 B2	09/25/2001	Cohen		
_____	_____	5,832,470	11/03/1998	Morita et al.		

FOREIGN PATENT DOCUMENTS

Exam Init	Ref	Document Number	Publication Date	Country	Name
_____	_____				
_____	_____				
_____	_____				
_____	_____				

OTHER DOCUMENTS

Exam Init	Ref	Author, Title, Date, Pertinent Pages, Etc.)
_____	_____	

Examiner: _____

Date Considered: _____